

#	Question	Answer
Entry Summary, Accounts and Revenue (ESAR)		
1	What is the timeframe for the Drawback working group to begin programming for ACE?	Drawback is scheduled to begin in Increment 10 (May 2015).
2	The ACE Deployment Schedule on CBP.gov [Jan. 2015 version] shows RLF deployment on May 5 (Increment 9). Is this correct?	ACE development will be completed by May 5. This capability is scheduled to deploy June 27, 2015.
3	When will New Statements reroute (MO) be available in CERT?	The current plan is April 2015.
4	Can you please clarify, if statement changes will be mandated by November 1st?	CBP is currently working on determining whether we will deploy statements in November. There are dependencies that may impact the deployment date.
5	Can you define DIS? Some of us think it's using the DIS via ABI, but others think e-mail is a type of DIS. Can you be clear about what DIS means to you?	The Document Image System is a repository for documents. We do not transmit DIS we transmit to DIS. DIS allows CBP nationwide visibility to documents submitted. Our PGAs will be able to access DIS and view their documents for which they have jurisdiction.
6	If I understand correctly, we will no longer be submitting an invoice transmit, as we do now, on RLF. Will the DIS just be an image of the invoice?	Yes, DIS will be just an image of the invoice. You will have the option of sending the image to DIS or uploading via the ACE portal.
7	Can you please discuss the DIS options? Does it have to be via MQ or will e-mail work for this?	The email capability will be available on June 27, 2015. CBP is currently working on this where all the documents supported by DIS will be allowed to be sent electronically via MQ or via email.
8	Will DIS be required in the future for uploading the C.I. or will we still be able to upload the document via the online tool?	If sent to support Cargo Release or PGA message set, then yes, it will have to be sent to DIS. If sent to support a post release request for documents, then you will have the option of sending docs to DIS or upload via the ACE Portal.
9	Where/how is the DIS image transmitted using the AE record set (i.e. linking of the summary filing with the DIS image)?	Documents sent to DIS is a separate transmission and is not sent in the same transmission as the AE. When the documents are sent to DIS, you are required to also include the transaction that the documents support. Once in DIS, the system will link the documents to the identified transaction.

10	Do brokers have to use DIS through ABI, or can any document that can be sent via DIS can be uploaded on the portal?	DIS and portal are different. For ABI, you have to go according to the FRN's that have been posted to tell you what documents; we have also provided a list of all the documents that are allowed via EDI or ABI (see SW Developer Webinar Session slides on cbp.gov/ace). For upload via the portal, you must have to have action ID. With DIS the action ID is not always required. Because you have scenarios where it is requested that the document be in place prior to release, you may not have an action ID. DIS is currently the preferred method, especially when you're dealing with cargo release issues. At some point we hope to align all of them so there will be no question.
11	What is the message size limit for transmitting DIS via ABI MQ?	There is no size limit when sending via MQ.
12	We currently do not have ability to send documents to DIS except if it is done via email. If we are only filing ACE entry summary with ACS Cargo Release, does this mean should follow old procedures for providing documents (regular email, faxing, sending overnight, etc)?	Currently, yes, follow existing/old procedure. Email will be an option by June 27, 2015.
13	Is DIS available through the ACE Portal for the trade?	Currently, DIS is not available via the portal to trade. That is something we would like to get to eventually.
14	Could the same ABI queue be used for DIS?	Yes, if you have existing ACE and ABI Queue. Please work with your Client Rep.
15	Some CBP officers have said there are restrictions to importers who receive Filer Codes. Is this true? If so, what is the minimum monthly shipments and annual revenue?	Filers and Importers can have both roles without restriction. If a specific restriction can be identified, CBP will research the issue.
16	Why is All being taken away? Many importers provide this information in electronic format; this will make RLF much harder for them and take us backward in automation.	This was a policy decision.
17	For All, why is CBP moving from an automated process to a manual process? It seems we are going backwards not forwards. Previously we could preempt an All request and send automatically, but this no longer seems to be the case.	This was a policy decision.

18	Is All still relevant / required for RLF once RLF is allowed for in cert from April?	Policy and procedures will need to be updated to support this change, which is scheduled to deploy on June 27, 2015.
19	Today, CBP matches the invoice number in All to the entry to release. In ACE, will this logic change? What data fields will be matched in order to obtain release for RLF?	It will be just like all other entry types going forward; the same way that you submit invoice for a non-RLF for a regular ACE Cargo Release.
20	Can the invoice be uploaded via the portal or emailed?	Currently, an invoice can be uploaded to the portal. It can be emailed for cargo Release purposes, but not for entry summary purposes. You can send it via ABI for entry summary. We will be working to better align this so it is not confusing to users. Make sure to follow FRNs and CSMS messages on this topic.
21	Can we submit an invoice via the ACE Portal with an action identifier? Or can invoices only be submitted via DIS?	Correct, you may use the portal for upload, if you have the action identifier. You can also send invoices to DIS.
22	We have clients who only have an electronic invoice and no paper is involved. What should be sent to DIS?	We are working with the policy office and we have made them aware that there are folks that only have electronic invoices. The policy decision was made not to build All in ACE so that will not be an option. However, the invoice data requirements will remain in the regulation. We have to be able to submit all the data elements required by regulation. How you turn that submission into a DIS submission or an upload to the portal is a discussion we may need to have.
23	Will the UC1 be supported through November 1st or will June 27th be the hard stop date for the use of a UC1?	Pending follow up with policy.
24	For quota, how will the system know withing the port limits?	CBP will use the conveyance transmitted by the carrier.
25	Will Quota be allowed RLF?	This is not an automation question. Discussions are being held with CBP policy offices to determine the policy.
26	We have a major problem with the Bond Status Notification: we use the "B" record to separate data based on port codes. Because the BS transaciton uses "B" records, this causes conflicts.	There are no changes planned to the Bond Status message to correct this - this functionality went through CERT testing and was deployed to production on 1/3/2015, without concerns being raised regarding the record structure. To change it now would be a large undertaking and be costly to trade and CBP.

27	With an automated quota process, will brokers be able to file 02 entry types via RLF?	We are working with the policy office to determine what entry types you will open RLF up to. Right now it continues to be 01, 03 and 11.
28	When are the regulations going to be changed to allow Remote Location Filing use of eBonds?	The ACE_STB email should be used for ACE ES certified for ACS Cargo Release, as directed by policy. Updates to RLF require policy and legal coordination and concurrence - there is no timeframe for those changes to be implemented.
29	Can we do eSEB and file the single entry under RLF entry?	No, not currently. We originally hoped that that the deployment of eBond would allow for single transaction bonds for RLF, but there are a number of changes that require input from legal and policy offices that is outstanding. We're still pursuing it, and we would like to have that in as soon as possible.
30	We are having issues with CBP officers contacting us (the broker) asking why we haven't filed a CBP Form 28 as they requested. Several officers have stated that we should be receiving these requests via ACE. However, we are not. Is there any way we can have a "request for CBP Form 28" sent out either via a UC message or in the same manner that we are currently receiving other document requests (ex.: Electronic Invoice Required)? The officers don't want to hear we didn't know about it because they didn't forward it to us like they used to.	CBP can consider this issue in a later increment when the summary review process and forms will be addressed.
31	What is a 'refactored' entry summary?	Refactoring is internal to CBP and pertains to how types 01s, 03s, and 11s will be displayed on the new platform. This has no impact on the trade; trade will continue to submit the way you do today.
32	Is there a minimum amount of monthly shipments or annual revenue an importer must report to receive a filer code and CBP Client Rep? Or do they just need to send a letter of intent to their local port?	A letter of intent.

<p>33 Can the ACE Cargo Release email instructions also be used for ACE Entry Summary? We followed these same instructions last week for an ACE Entry Summary and were advised that it was successful.</p>	<p>We followed these new exchange insertions last week for the ACE entry summary and we were advised it was successful - probably because of the entry summary that provided a match when you sent in the information. Although you may have been able to submit it, right now we have no notification out there saying that you can transmit DIS via email for ace entry summary. Outside of the pilot. The pilots and instructions provided to them are the only ways and reasons why you should use email. We are working on creating a generic format where we can use it whether it is cargo release or entry summary - it is not yet posted so you are advised not to send it in via email. The preference is for you to send to DIS via ABI, or upload it via the portal.</p>
<p>34 We are seeing changes in draft catair chapter in production Importer/Bond Query. Why is that?</p>	<p>The importer bond query was updated, not only for ebonds but for other reasons as well. The main reason we updated it is because now on the bonds we are not required to submit name and address. In order to provide information to anyone who wanted physical mailing addresses to check against their records, we had to update that to include the physical address records. That was the biggest change – there are a few other changes that can be found in the CATAIR under the table of changes.</p>
<p>35 All eBond, including for ISF, are to be worked by surety or surety agents? No Broker or other trade party can do this?</p>	<p>Correct, only surety or surety agents may submit bonds in eBond.</p>
<p>36 What are the matching rules for eBond?</p>	<p>With any kind of bond, specifically the matching single transaction bond -- which I think your referring to -- the bond has to be on file first before the entry is filed. We have a certain set of data elements from the bond that we match to the entry. In the future, we will enhance to include sufficiency calculations. Right now, it is checking to make sure that data on the bond matches the data on the entry. If it does we, continue entry validation and make sure that the matches.</p>

37	Colleen Clarke's slide said certain ports must file paper bonds, could you explain why and which ports?	<p>If a Single Transaction Bond (STB) is filed in ACE Cargo Release, then it must be followed by an electronic STB (eSTB) in ACE. If the STB is filed in ACS then it is a paper bond. Currently, only certain ports have begun Cargo Release testing, so eSTB is only available at those specified ports. At this time, there are over 75 ports available for Cargo Release and all airports are ready to go for ACE Cargo Release processing. You can find a list of ports where ACE Cargo Release is available, via the following link:</p> <p>http://www.cbp.gov/document/guidance/ace-cargo-release-eligible-ports</p>
38	The Second Notified Party (SNP) code has generated a lot of questions among the brokers. Where is the document that you just referenced that helps explain this code to the trade?	<p>You can find this document and other eBond resources via the following link:</p> <p>http://www.cbp.gov/trade/bonds</p>
39	What port code does SNP refer to? Is it the Port of the Entry?	It is whatever port you want from your ABI routing codes. You may have several ABI routing codes with multiple ports with your filing code; wherever you want to see it, that is the port code you should input.
40	Do we currently have the option to file ACE AE certified for ACE Release?	After successful certification testing, you will be allowed to start transmitting.
Client Representatives, Outcomes and Analysis		
41	One of our big hurdles right now is that our Client Rep does not have the capacity to help us with our questions. Can CBP designate a client rep that could assist the software vendors?	Client Rep resources are currently strained in supporting their clients as part of ACE development, certification, testing, troubleshooting, etc., along with their daily workload. We are looking at our organization to see where we can gain efficiencies with the resources we have and will address this concern as soon as possible.
42	The adoption highlights are for CBP filings only, correct? This does not include PGA filings?	The ACE Adoption Rate Report captures cargo and summary transactions, which were filed by the trade to CBP.